## J. Y. Interpretation No.378 (April 14, 1995) \*

ISSUE: Should the decisions rendered by the Committee on the Review of the Discipline of Lawyers be deemed equivalent to the final and binding judgment of the court in light of Article 16 of the Constitution?

## **RELEVANT LAWS:**

Article 16 of the Constitution (憲法第十六條); Articles 40, 41.43 and 52 of the Lawver's Act (律師法第四十條、第四 十一條、第四十三條、第五十二條).

## **KEYWORDS:**

right to bring lawsuits (訴訟權), lawyer's discipline (律師 懲戒), final and last judgment (確定終局判決). \*\*

**HOLDING:** The Committee on the Discipline of Lawyers and the Committee on the Review of the Discipline of Lawyers, established according to Articles 41 and 43 of the Lawyer's Act, serve as the first trial and final appeal tribunals of professional discipline within the organizations of the High Court and Supreme Court, and are different from the Commit-

解釋文:依律師法第四十一條 及第四十三條所設之律師懲戒委員會及 律師懲戒覆審委員會,性質上相當於設 在高等法院及最高法院之初審與終審職 業懲戒法庭,與會計師懲戒委員會等其 他專門職業人員懲戒組織係隸屬於行政 機關者不同。律師懲戒覆審委員會之決 議即屬法院之終審裁判,並非行政處分 或訴願決定,自不得再行提起行政爭

Translated by Su-po Kao.

Contents within frame, not part of the original text, are added for reference purpose only.

tee on the Discipline of Accountants and other professional disciplinary organizations which are within the organizations of the Executive branch. The resolution of the Committee on the Review of the Discipline of Lawyers is therefore equivalent to the final and binding judgment of the court which is not an administrative act or a decision of administrative appeal and cannot be appealed through administrative litigation. Interpretation No. 295 of this Yuan should thus be further supplemented

REASONING: Article 16 of the Constitution prescribes the people's right of instituting legal proceedings, which means the people in accordance with legal proceeding, have the right to request the court to adjudicate on their respective legal disputes, and such right has already been interpreted by this Yuan (See Interpretations Nos. 220 and 368). The so-called "court" will certainly include the adjudicating institution composed of judges. However, some adjudicating institutions, established within the judiciary and composed of both judges and profess-

訟,本院釋字第二九五號解釋應予補 充。

sionals, should be deemed to be equivalent to the "court," in the case where the members of such institutions exercise their authority independently from interference, and the procedural rules applied are similar to the court proceeding. Then the people's right of instituting legal proceedings is not violated should their respective disputes be adjudicated by such institutions in accordance with law. 之訴訟權遭受侵害。

In regard to the matters of violation of professional obligations and discipline of the professionals, the disciplinary institutions are mostly in the form of committees composed of the members of the respective professions, officials of relevant authority, and relevant experts according to the organic charter promulgated by the governing authority authorized by law, e.g., the disciplinary institutions of accountants and architects. As for lawyers whose mission is to protect human rights, uphold social justice and to promote democracy and the rule of law (See Article 1 of the Lawyer's Act amended and promulgated on Nov. 16, 1992), their practice is naturally mutually supportive and

關於專門職業人員違背其職業上 應遵守之義務,而須受懲戒者,基於職 業團體自治原則及各種專門職業之特 性,掌理懲戒事項之組織,多由法律授 權主管機關以訂定組織規程方式,組成 包括各該職業團體成員、行政主管人員 及有關專家之委員會,如會計師及建築 師等之懲戒組織是。至於律師依法負有 保障人權、實現社會正義及促進民主法 治之使命(見中華民國八十一年十一月 十六日修正公布之律師法第一條),其 執行業務與法院之審判事務相輔相成, 關係密切,法律對其懲戒機構之設立, 遂有不同於其他專門職業人員之規定。 依律師法第四十一條:「律師懲戒委員 會由高等法院法官三人、高等法院檢察 署檢察官一人及律師五人組織之;委員

highly related to the adjudication of the court. Therefore, the legal rules regarding the establishment of the disciplinary institutions are different from those regarding other professions. According to Article 41 of the Lawyer's Act: "The Committee on the Discipline of Lawyers shall be composed of three judges of the High Court, one prosecutor from the prosecutor's office in the High Court, and five lawyers: one of the members of the above committee shall be elected Chief Commissioner." Article 43 of the same Act also reads: "The Committee on the Review of the Discipline of Lawyers shall be composed of four justices of the Supreme Court, one prosecutor from the prosecutor's office in the Supreme Court, five lawyers and two scholars; one of the members of the above committee shall be elected Chief Commissioner." In regard to the proceeding of disciplinary matters, Article 40 of the same Act adopts the principle of prosecution, that is, the disciplinary procedure is initiated by a government organ other than the Discipline Committee or by the bar association. Also according to the Regulation on the Discipline of Lawyers, author長由委員互選之。」同法第四十三條: 「律師懲戒覆審委員會由最高法院法官 四人、最高法院檢察署檢察官二人、律 師五人及學者二人組織之; 委員長由委 員互選之。」關於懲戒事件之審理,則 依同法第四十條規定採彈劾主義,亦即 懲戒程序之發動,係由懲戒委員會以外 之機關或律師公會移送。又依同法第五 十二條第二項授權訂定之律師懲戒規 則,在組織結構上將上述懲戒委員會分 別設在高等法院及最高法院, 其成員於 行使職權時實質上亦與各該法院法官享 有同等之獨立性。此外,有關人員迴 避,案件分配,證據調查(並得囑託法 院予以調查),筆錄製作,作成評議及 書類等,或準用刑事訴訟法之規定,或 與法院審理訴訟案件之程序類同,各該 委員會性質上屬於法院所設之職業懲戒 法庭,與其他專門職業人員懲戒委員會 係隸屬於行政機關者有別。雖各該懲戒 委員會之成員除法官及檢察官外,尚有 律師或學者,此乃職業懲戒組織之通 例,於其行使職業懲戒權法庭之特性並 無影響。受懲戒之律師對於律師懲戒委 員會之決議不服者,得請求覆審,律師 懲戒覆審委員會所為之決議,即屬法院 之終審裁判,並非行政處分或訴願決 定,自不得再行提起行政爭訟,本院釋

ized and promulgated pursuant to Article 52, Paragraph 2, of the same Act, the abovementioned Committees are established within the High Court and Supreme Court, respectively, in the structural sense and their members practically enjoy the same degree of independence as other judges of the same institutions do in the exercise of authority. In addition, as regards the rules of official withdrawal, case allocation, evidence investigation (may request the court to investigate), affidavit recording, verdict and documentation, etc., either the relevant rules of the Code of Criminal Procedure shall also be applied or, they are similar to the procedure of adjudication. The respective committees are the professional disciplinary tribunals of the courts in terms of their characteristics and are different from other committees of professional discipline established under the Executive branch. Though the members of the respective committees include lawyers and scholars in addition to judges and prosecutors, this is the common practice for the organization of professional discipline and does not affect its character as the tribunal ex字第二九五號解釋應予補充。又律師懲 戒委員會既具職業懲戒法庭之性質,為 使其名實相符並增進司法化之運作,宜 於修正相關法律時改為法庭名稱,併予 指明。

ercising the authority of professional discipline. The disciplined lawyer may appeal the resolution of the Committee on the Discipline of Lawyers and request for review. The resolution of the Committee on the Review of the Discipline of Lawvers is the final and binding judgment of the court in its character which is not an administrative act or a decision of administrative appeal and cannot be appealed through administrative litigation. Interpretation No. 295 of this Yuan should thus be further supplemented. And since the Committee on the Discipline of Lawyers bears the character of a professional disciplinary tribunal, it should be further pointed out that its official name should be changed to tribunal, when the relevant laws are revised, in order that its name accords with practice and to strengthen its judicial character.

Justice Sen-Yen Sun filed dissenting opinion. 本號解釋孫大法官森焱提出理由 部分不同意見書。